# CTAS FY 2017: Funding Opportunities for Healing to Wellness Courts

**December 15, 2016** 



Tribal Law and Policy Institute 8235 Santa Monica Blvd. Ste. 211 West Hollywood, CA 90046

www.home.tlpi.org www.WellnessCourts.org

# **PRESENTERS**

- Lauren van Schilfgaarde, Tribal Law Specialist, Tribal Law and Policy Institute
- Trish Thackston, Policy Advisor, Bureau of Justice Assistance, Office of Justice Programs
- Tenzing Lahdon, Grant Manager, Youth Development,
   Prevention & Safety Division, Office of Juvenile Justice & Delinquency Prevention

# OUTLINE

- Overview of Other Drug Court RFPs
  - FY 2017 BJA Adult Drug Courts RFP (Due: TBD) (reference only)
  - SAMHSA Treatment Drug Courts RFP (Due: TBD) (reference only)
- FY 2017 CTAS RFP
  - Purpose Areas #3 and #8
- Tribal 10 Key Components/Drug Court Standards
- General Grant Writing Tips
- Questions

# BJA ADULT DRUG COURT RFP

- Implementation
- Enhancement

To develop drug courts that effectively integrate

- evidenced-based substance abuse treatment,
- mandatory drug testing,
- sanctions and incentives, and
- transitional services in a judicially supervised court setting with jurisdiction over substance-abusing offenders

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# BJA ADULT DRUG COURT: Length and Duration

- Implementation grant up to \$350,000 for 36 months
- Enhancement grant up to \$200,000 for 24 months operational at least one year and are planning to:
  - (I) expand the number of participants
  - (2) expand the target populations,
  - (3) enhance court operations,
  - (4) enhance court and/or supervision services, and/or
  - (5) enhance recovery support services.

# BJA ADULT DRUG COURT: Tribal Awards

- FY 2012: I Tribe
- FY 2013: 3 Tribes
- FY 2014: I Tribe
- FY 2015: 6 Tribes
- FY 2016: 2 Tribes

### SAMHSA

- Grants to Expand Substance Abuse Treatment Capacity in Adult and Family Drug Courts (SAMHSA Treatment Drug Courts)
- Joint Adult Drug Court Solicitation to Enhance Services, Coordination, and Treatment (BJA and SAMHSA)
- I. There have been approximately IO BJA awards and IO SAMHSA awards.
- 2. BJA: Up to \$300,000/year for 3 years, but match required.
- 3. SAMHSA: Up to \$325,000/year for 3 years, with no match required.
- 4. Treatment-focused RFP restricted to OPERATIONAL adult criminal courts or family/child dependency courts.



# Coordinated Tribal Assistance Solicitation

### CTAS

- Launched in FY 2010
- Federally-recognized tribes submit a single application for most of DOJ's Tribal grant programs.
- www.justice.gov/tribal

### CTAS

#### **INCLUDED:**

- Tribal-Specific grants within the
  - Office of Community Oriented Policing Services (COPS)
  - Bureau of Justice Assistance (BJA)
  - Office on Violence Against
     Women (OVW)
  - Office for Victims of Crime (OVC)
  - Office of Juvenile Justice and Delinquency Prevention (OJJDP)

#### **NOT INCLUDED:**

- OVW Tribal Coalitions
- OVW Tribal Sexual
   Assault Services Program
- T/TA Programs
- BJA Tribal Civil and Criminal Legal Assistance
- Non-Tribal Governmentspecific grants

### **CTAS PROS**

- Tribal specific RFP/funding source
- Specific references to Healing to Wellness Courts in purpose areas #3, and #8
- Peer reviewers will have tribal court specific knowledge
- Potentially more flexibility to design a Healing to Wellness Court to meet the specific needs of an individual community
- No match requirement
- Healing to Wellness Court objectives can be better incorporated into an overall tribal plan through CTAS
- Most tribes are already planning to submit CTAS proposal

### CTAS CONS

- Purpose Area 3 has a large scope and a lot of competition
  - Not Healing to Wellness Court-specific
- Purpose Area 8 is very narrow and limited
- Peer reviewers may not have adequate drug court knowledge
- Complex CTAS application process with many moving parts requiring substantial intra-tribal coordination
- Limited page and formatting requirements

# **ELIGIBILITY**

- Federally recognized Tribe
- Tribal consortia (2 or more tribes)
  - A tribal consortia member can also submit its own independent application, so long as the activities are distinct

## APPLICATION TIMELINE

- Opened November 29, 2016
- · Closes February 28, 2017 (9pm Eastern)
- Recommended to register for GMS no later than February 7, 2016.
- DOJ expects to award grants no later than September 30, 2017

# CTAS: WHAT IS NEW IN FY 2017?

- Application Overview has replaced the Executive Summary, and is no longer scored.
- Each Purpose Area now requires a Project Abstract
- Purpose Area #8 is now limited to new
   Juvenile Healing to Wellness Courts

# PAST CTAS

# **FY 2016**

- 215 applications
- 236 awards
- \$102 million

# FY 2015

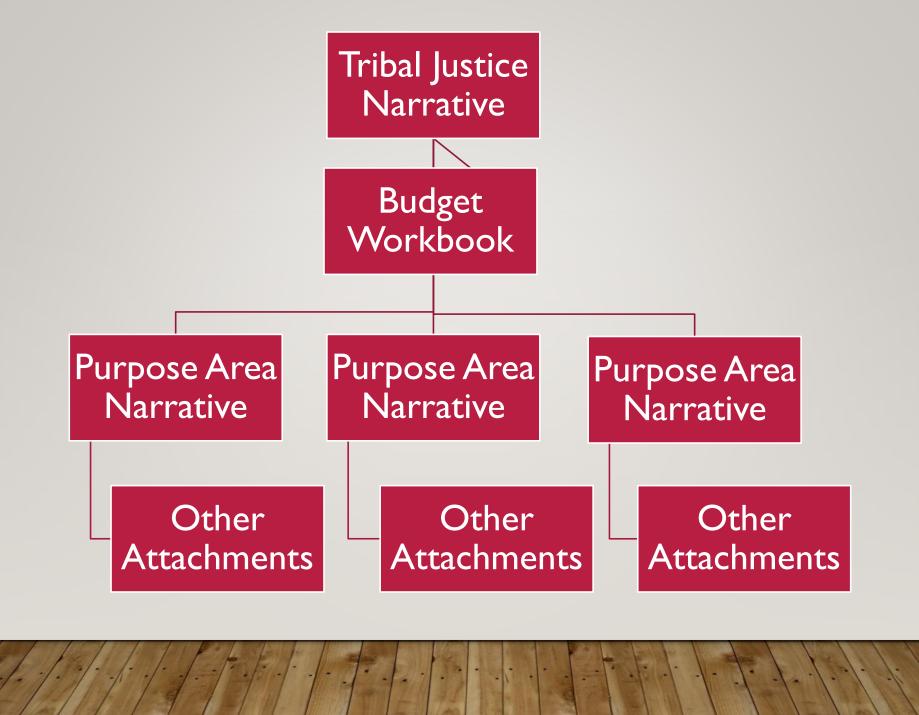
- 234 application
- 191 awards
- \$97 million

### FY 2017 CTAS PURPOSE AREAS

- I. Public Safety and Community Policing (COPS Office Tribal Resources Grant Program—Hiring and Equipment/Technology)
- 2. Comprehensive Tribal Justice System Strategic Planning (BJA, COPS, OJJDP, OVW, and OVC)
- 3. Justice Systems, and Alcohol and Substance Abuse (BJA Tribal Courts Assistance Program and Indian Alcohol and Substance Abuse Prevention Program)
- 4. Corrections and Correctional Alternatives (BJA Tribal Justice Systems Infrastructure Program)
- 5. Violence Against Women Tribal Governments Program (OVW)
- 6. Children's Justice Act Partnerships for Indian Communities (OVC)
- 7. Comprehensive Tribal Victim Assistance Program (OVC)
- 8. Juvenile Healing to Wellness Courts (OJJDP)
- 9. Tribal Youth Program (OJJDP)

# THE APPLICATION

- Application Overview
- Tribal Community and Justice Profile (30%)
- Purpose Area Abstract
- Purpose Area Narratives (50%)
- Project/Program Timelines (5%)
- Budget Workbook (15%)



# www.justice.gov/tribal/grants



#### Online Guide: Preparing for the Coordinated Tribal Assistance Solicitation

This online guide was created by the Education Development Center in coordination with the Department of Justice to assist Tribes as they prepare for, write, and submit their applications for complex grants such as the Coordinated Tribal Assistant

Solicitation (CTAS).

This guide contains strategies to:

- Read and comprehend a complex grant solicitation
- Coordinate a robust grant-writing team
- Identify and articulate the needs of your community through data driven processes
- Generate and capture ideas and solutions from your community
- · Organize key community players to execute the proposed program

Explore the tool @

# APPLICATION OVERVIEW

- I-2 pages
- Identify problems; strategy to address problems; and the Purpose Areas being requested

pplication Overview	
pplicant Name:	
trategy: The tribe proposes to us	e the following purpose areas to address a collaborative response as

Problem	Strategy to address the problem	Purpose area(s) addressing the problem
EXAMPLE	EXAMPLE	EXAMPLE
Need to provide better services for tribal youth returning to the reservation from a correctional setting or residential treatment.	Provide community- based juvenile delinquency prevention services and juvenile probation services.	PA #8—Juvenile Healing to Wellness Courts and PA #3—Justice Systems and Alcohol and Substance Abuse Program

# TRIBAL COMMUNITY AND JUSTICE PROFILE

- 30%
- Describe the community's
  - Strengths
  - Resources
  - Challenges
  - Needs
- 15 pages
- Readers may not be familiar with community
- Each question should be answered!

ribal Community and Just	ice Profile	
pplicant Name:		 

#### Tribal Narrative Profile (30%)

You may enter as much or as little text as you need to fully describe your community as long as the total number of pages for the entire Tribal Narrative Profile does not exceed 15 pages. Remember that the individuals reviewing the application may not be familiar with the community, so descriptions should be as clear and detailed as possible. Be sure that every question is answered. If there are questions that you are unable to answer, provide an explanation as to why the information is unavailable.

- 1. Describe the general form of your Tribal Government.
- 2. Briefly describe the tribal justice system, including prevention or intervention initiatives for members at risk of involvement or already involved in the justice system (youth or adult); law enforcement, including any cross-jurisdictional agreements; courts; alternative dispute resolution; corrections, including juvenile detention facilities; services for victims and survivors of crime (e.g., violent crime, non-violent crime, drug-related crime, child abuse, elder abuse, domestic violence, sexual assault, dating violence, stalking, human trafficking, identity theft, etc.); tribal reentry programs; and sex offender registry obligations. If services are not available within the tribe, please describe how they are accessed or provided.
- 3. Describe the significant tribal justice, community safety, juvenile delinquency, and victimization issues (e.g., child abuse, elder abuse, domestic violence, sexual assault, sex trafficking, dating violence, stalking, identity theft, non-violent crime, etc.) facing the tribal nation and explain why these issues are the most pressing. Ensure that the problems described are connected to the purpose area (st.) for which the tribe is applying for example, if the tribe is applying for Purpose Area #1 (COPS Tribal Resources Grant Program-Hiring and Tribal Resources Grant Program-Equipment/Training), the tribe should discuss low enforcement related issues. If the tribe is applying for multiple purpose areas, all of the relevant issues should be identified in this portion of the profile.
- 4. Describe current and future plans to comprehensively address the tribe's public safety, criminal and juvenile justice, or victimization issues (e.g., violent crime, non-violent crime, drug-related crime, child abuse, elder abuse, domestic violence, sexual assault, dating violence, stalking, identity theft, human trafficking, etc.).
- Discuss any additional information about your tribe that would be important in the understanding and evaluating your application.

The Tribal Community and Justice Profile will be rated based on the following criteria

- . The extent to which the application fully responds to the requested information.
- · The need for funding as described in the Tribal Community and Justice Profile.

EV 2017

# TRIBAL COMMUNITY AND JUSTICE PROFILE

- Describe the form of Tribal Government
- 2. Describe the Tribal Justice System
- 3. Describe the significant tribal justice, community safety, juvenile delinquency, or victimization issues that your application is trying to address
- 4. Describe current and future plan to address these issues
- 5. Any other context

# PROJECT/PROGRAM TIMELINE

- 5%
- Timeline should encompass
  - entire federal project period
  - indicates objectives and major tasks
  - assigns responsibility for each, and
  - plots completion of each task (by year and month or quarter)
- Separate timelines for each Purpose Area or one comprehensive Timeline.

# SAMPLE TIMELINE

#### **CTAS Sample Timeline**

Tribe name:

36-month project/program timeline

Purpose area/s:

Months	Purpose area/project goals	Related objectives	Activities	Expected completion date	Person responsible

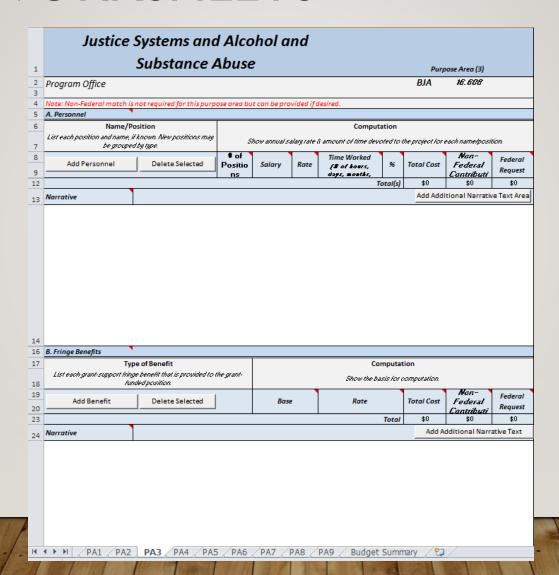
# BUDGET DETAIL WORKBOOK

- 15%
- The Budget Detail Workbook includes the
  - Demographic Form;
  - The Budget Detail Worksheet(s); and
  - The Budget Narrative(s)
  - Only one workbook per completed application
  - Download fillable version from GMS

# **DEMOGRAPHIC FORM**

1	Α	В	С	D	Е	F	G	Н	1	J
1	Demographic Form									
	Please fill out this form in its entirety. Note that each subsection has individual instructions. Please									
2	read them carefully before filling out this form. If you are applying as a consortium please aggregate the data for all the Tribes represented in your application.									
3										
	The name of each federally-recognized Indian Tribe that will be served by the proposed									
4	p	project(s)	):							
	N	Name(s)		The name(s)	of your tribe	e and represe	ented tribes.			
5										
6										
	В	BIA Regio	n(s)	Your BIA Reg	gion(s)					
7										
8										
9	2	2. What is	the Trib	e's current er	rollment?					
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13				enrollment, v employed (be						
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14 15	•			enrollment, v	what is the Tr	ihe's total				
10		•		ed 18 years a		ibe 3 total				
16				•						
17 18										
10	7. Please enter the approximate square mileage of the reservation/jurisdiction to be									
19										
20										
21	n									
	8. Please check the crime victim population area(s) that best describe the services the Tribe									
22										
23	Abused or peolected children Stalking									
25	Demostic Violence									
	DEIdor Abuso   Describuses of attempted benicide and for acquite   DEIDOR Abuso   DEIDOR Abuso									

# BUDGET NARRATIVE(S) AND WORKSHEETS



# **BUDGET SUMMARY**

	А	В	С	D	E	F	G	Н	1	J	K
			C	D			- G			,	K
1	Budget Summary  Note: Any errors detected on this page should be fixed on the Purpose Area specific tab.										
2											
3		PA(1)	PA (2)	PA (3)	PA (4)	PA (5)	PA (6)	PA (7)	PA(8)	PA(9)	
5		COPS 16.710	16.608	BJA 16.608	BJA 16.596	0VW 16.587	OVC 16.582	OVC 16.582	OJJDP 16.585	OJJDP	
3		16.710	16.608	16.608	16.596	16.587	16.582	16.582	16.585	16.731	
6	Budg et Categony	Public Safety and Community Policing	Comprehensive Tribal Justice Systems Strategic Planning	Justice Systems and Alcohol and Substance Abuse	Tribal Justice System Infrastructure Program	Violence Against Women Tribal Governments Program	Children's Justice Act Partnerships for Indian Communities	Comprehensive Tribal Victim Assistance Program	Tribal Juvenile Healing To Wellness Courts	Tribal Youth Program	Total(s)
7	A. Personnel	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
8	B. Fringe Benefits	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
9	C. Travel	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	D. Equipment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
	E. Supplies	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
12	F. Construction G. Consultants	N/A	N/A	N/A	\$0	N/A	N/A	N/A	N/A	N/A	\$0
	& Contracts	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
14	H. Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
16	Total Direct Costs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
17	I. Indirect Costs	\$0	\$0	\$0	\$0	\$0	\$0	so	\$0	\$0	\$0
19	Total Project Costs	so	\$0	SO	\$0	\$0	so	so	so	so	\$0
21		Up to 100% of total Project	Up to 100% of total Project	Up to 100% of total Project	Up to 100% of total Project	Up to 100% of total Project	Up to 100% of total Project	Up to 100% of total Project	Up to 90% of total project	Up to 100% of total Project	
22	Federal Request	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
23	Non-Federal Contribution	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
24	Required Match Met	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
25											
26											
27											
28											
30											
31											
32											
33											
34											
25	PA1	/PA2 /PA3 /	PA4 PA5	PA6 / PA7 / I	PA8 / PA9 B	udget Summa	nv /		[] ∢ [		
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# REQUIRED ATTACHMENTS

- Tribal Authority
- Disclosure of High-Risk Status
- Disclosure of Pending Applications
- Disclosure of Lobbying Activities
- Indirect Cost Rate Agreement (if applicable)
- Financial Management and System of Internal Controls Questionnaire

### OTHER POSSIBLE ATTACHMENTS

- Letters of Support
- Resumes of Key Personnel
- Job descriptions of requested positions
- MOUs with proposed partners

# THE PURPOSE AREAS

# PROJECT ABSTRACTS

#### **Applicant Name:**

Purpose Area #3 Justice Systems, and Alcohol and Substance Abuse (BJA)

In a separate document, applicants must include a high-quality project abstract that summarizes the proposed project in 400 words or less. Abstracts should be

- a. written for a general public audience;
- submitted as a separate attachment with "Project Abstract" as part of its file name (this will
   NOT count against the page limit for the program narrative);
- c. single-spaced, using a standard 12-point font (Times New Roman) with 1-inch margins.

The abstract should include a brief description of the project's purpose, the population to be served, and the activities that the applicant will implement to achieve the project's goals and objectives. The abstract should be specific to the project proposed for this purpose area. **The abstract is required but will not be scored.** 

# PURPOSE AREA NARRATIVES

- Applicants must submit a <u>separate</u> narrative for each Purpose Area.
- Template questions:
  - Problem identification and problem solving strategy
  - Project/program design and implementation
  - Capabilities and competencies
  - Impact/outcomes and evaluation/plan for collecting data for performance measures
- Each Purpose Area has a unique template
- 15 pages

# PURPOSE AREA #3: JUSTICE SYSTEMS AND ALCOHOL AND SUBSTANCE ABUSE (BJA)

- Tribal Courts Assistance Program (TCAP)
- Indian Alcohol and Substance Abuse Prevention Program (IASA)

Purpose Area	Estimated Amount of Funding Available	Estimated Number of Awards to be made; Estimated Award Amounts	Length of Award
3) Justice Systems and Alcohol and Substance Abuse (BJA)	\$16.8 million	Approximately 20-30 awards; Approximately \$250,000- \$750,000 per award.	3 years

# PURPOSE AREA #3: JUSTICE SYSTEMS AND ALCOHOL AND SUBSTANCE ABUSE (BJA)



# PURPOSE AREA #3: JUSTICE SYSTEMS AND ALCOHOL AND SUBSTANCE ABUSE (BJA)

- 103 applications
- 27 awards

# PURPOSE AREA #3: JUSTICE SYSTEMS AND ALCOHOL AND SUBSTANCE ABUSE (BJA)

- To develop, enhance, and continue tribal justice
- To respond to and prevent alcohol- and substance abuse-related crime, including ...
   healing to wellness courts
- Projects can focus on tribal justice systems,
   alcohol or substance abuse, or both

#### **PURPOSE AREA #3: NARRATIVE**

#### Narrative

Purpose Area #3 Narrative (15-page limit including template text; answers should be double-spaced)

- Identify one or more of the crime and public safety problems described in the Tribal Community and Justice Profile that the tribe plans to address through the proposed grant funding and describe the problem(s) with as much additional detail, including data, as necessary to clearly describe the nature and extent of the problem(s).
- Describe current or previous efforts, if any, to address the problem(s) identified in item #1 and state whether they were effective.
- Describe any current gaps in services related to the problem(s) identified in items #1 and #2 that will be addressed through this grant application.
- Explain how your tribe identified and prioritized the problem(s) described above to be addressed through this grant funding.
- 5. Describe how the proposed grant-funded program will address the identified problems.
- For each identified problem in item #1, identify the specific goals and objectives of the proposal that will be accomplished in 36 months. Provide details about the specific tasks and activities necessary to accomplish each goal and objective.
- 7. Describe the management structure, staffing, and in-house or contracted capacity to complete each of the proposed projects, and any organizational changes that may result if funding is awarded. Include detailed information about existing resources within the tribe and the community that will help make this project a success.
- Identify current government and community initiatives that complement or coordinate with the
  proposal and any partnerships that will be created or enhanced as a result of funding. Describe the
  roles of each identified partner. Examples may be advisory boards, Tribal Leaders, nonprofits, private
  organizations, and regional relationships, etc.

#### **PURPOSE AREA #3: NARRATIVE**

#### Applicant Name:

Purpose Area #3 Justice Systems, and Alcohol and Substance Abuse (BJA)

- Describe how the applicant will know if the program works and how success will be determined and measured. Describe how data will be collected and assessed to measure the impact of proposed efforts.
  - What will be measured?
  - ii. How will data be collected?
  - iii. Who is responsible for collecting the data?
  - iv. How is success defined?
- 10. Describe how evaluation, collaborative partnerships, or other methods will be used to leverage ongoing resources and facilitate a long-term strategy to sustain the project when the federal grant ends.
  - i. Describe any challenges you anticipate in sustaining the program beyond the grant funding.
- 11. Address the tribe's need for financial assistance and the inability of the agency to implement the proposed plan without federal funding. This should be linked to the needs identified in the Tribal Narrative Profile.
- 12. If you are requesting funding in multiple purpose areas, is the receipt of BJA Purpose Area #3 funding required for the implementation of any other purpose area being requested? If so, explain. Examples of this may be requesting an officer from BJA Purpose Area #3 and equipment for that officer from COPS Office Purpose Area #1.

#### STRUCTURING THE NARRATIVE

#### Consider BJA's Adult Drug Court RFP for guidance

- Describe goals and objective—link to key components
- How will the court identify, assess, and prioritize participation
- Describe your randomized drug testing process
- Describe the frequency of judicial hearings
- Describe how you will ensure a perception of procedural fairness
- Describe your evidenced-based treatment
- Describe how the community has been engaged in the planning process

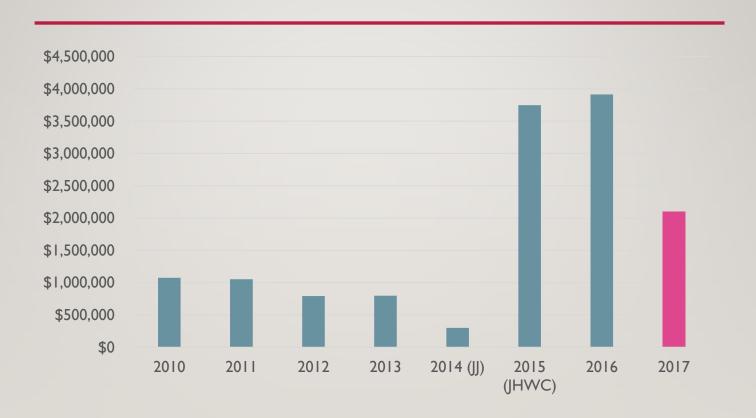
Purpose Area	Estimated Amount of Funding Available	Estimated Number of Awards to be made; Estimated Award Amounts	Length of Award
8) Juvenile Healing to Wellness Courts	\$2.1 million	Approximately 6 awards; Up to \$350,000 per award.	4 years

FY 2014, 2015, or 2016 Purpose Area #8 grantees are **not** eligible for FY 2017 Purpose Area #8.

4 – year project period.

Note: Down from \$4.8 million/

No violent offenders.



- In FY 2016:
  - 20 applications
  - 13 awards

### GOALS AND OBJECTIVES JUVENILE HEALING TO WELLNESS COURTS (OJJDP)

- Support tribes seeking to develop a new Juvenile Healing to Wellness Court.
- No longer an exclusive focus on underage drinking, nor for enhancing existing Wellness Courts.
- For youth up to age 21.
- New objectives.

### **GOALS AND OBJECTIVES**

**JUVENILE HEALING TO WELLNESS COURTS (OJJDP)** 

- Detailed objectives for each of the four years (pg. 41-42)
- Includes:
  - Develop a strategic plan (Stat-Pak)
  - Establish a steering committee; conduct a needs assessment
  - Develop written policies and procedures, participant handbook, etc.
  - Develop a data collection system
  - Begin screening and accepting juveniles
  - Develop written sustainability plan

## PURPOSE AREA NARRATIVE JUVENILE HEALING TO WELLNESS COURTS (OJJDP)

#### **Narrative**

Purpose Area #8 Narrative (15-page limit including template text; answers should be double-spaced)

- Identify alcohol or other drug abuse problems that require the services of a Healing to Wellness
  Court. Describe the problem(s) with as much additional detail, including data, as necessary to clearly
  describe the nature and extent of the problem(s).
- Describe current or previous efforts, if any, to address problem(s) identified in item #1, and state whether they were effective. Also please describe how you measured effectiveness.
- Describe the current gaps in services related to the problem(s) identified in items #1 and #2 that will be addressed through this grant application.
- 4. Explain how your tribe identified and prioritized the need for a Juvenile Healing to Wellness Court based on the problem(s) described in #1. This section must also include a description of the tribe's current court system, such as staffing, docket frequency, and how the tribe currently handles juvenile cases involving substance abuse.
- 5. Describe how the proposed grant-funded program will address the identified need in item #1. Please include a long-term strategy and detailed implementation plan with specific goals and objectives that will be accomplished during the project period. Provide details about the specific tasks and activities necessary to accomplish each goal and objective.
- 6. Describe the management structure, staffing, and in-house or contracted capacity to complete each of the proposed projects and any organizational changes that may result if funding is awarded. Include detailed information about existing resources within the tribe and the community that will help make this project a success, including support from tribal leaders.

## PURPOSE AREA NARRATIVE JUVENILE HEALING TO WELLNESS COURTS (OJJDP)

#### Applicant Name:

Purpose Area #8 Juvenile Healing to Wellness Courts (OJJDP)

- 7. Identify current government and community initiatives that complement or coordinate with the proposal and any partnerships that will be created or enhanced as a result of funding. Describe the roles of each identified partner. Examples may be multidisciplinary steering committees, Tribal Councils, tribal court judges, prosecutors, defense counsel, probation, law enforcement treatment providers, schools, vocational programs, tribal elders, tribal community members, other service providers, etc.
- Describe how the applicant will know if the program works and how success will be determined and measured. Describe how data will be collected and assessed to measure the impact of proposed efforts.
  - i. What will be measured?
- ii. How will data be collected?
- iii. Who is responsible for collecting the data?
- iv. How is success defined?
- Describe how evaluation, collaborative partnerships, or other methods will be used to leverage
  ongoing resources and facilitate a long-term strategy to sustain the project when the federal grant
  ends. Describe any challenges you anticipate in sustaining the program beyond the grant funding.
- 10. If you are requesting funding in multiple purpose areas, is the receipt of OJJDP Purpose Area #8 funding required for the implementation of any other purpose area being requested? If so, explain. Examples of this may be requesting an officer from BJA Purpose Area #3 and equipment for that officer from COPS Office Purpose Area #1.

The Tribal Key Components

TRIBAL HEALING TO WELLNESS COURTS: THE KEY COMPONENTS The Key Components 2nd Edition May 2014

Drug Court Standards

#### ADULT DRUG COURT BEST PRACTICE STANDARDS

VOLUME I



NATIONAL ASSOCIATION OF DRUG COURT PROFESSIONALS ALEXANDRIA, VIRGINIA

## WHAT IS A DRUG COURT? FROM BJA DRUG COURT RFP:

Drug courts are part of the larger universe of problem-solving courts, and have been demonstrated (where implemented in an evidence-based manner) to reduce recidivism and substance abuse among high-risk substance abusing offenders and increase their likelihood of successful rehabilitation through:

- early, continuous, and intense treatment,
- close judicial supervision and involvement (including judicial interaction with participants and frequent status hearings),
- mandatory and random drug testing,
- community supervision,
- appropriate incentives and sanctions, and
- recovery support aftercare services.

#### PERFORMANCE MEASURES

### OVERARCHING OBJECTIVE

Objective	Sample Performance Measure(s)	Sample Data Grantee Must Provide
Overarching Objective: Improve the grant planning and application process for DOJ tribal grant applicants.	Number of collaborative partners involved in the comprehensive planning stage.	Number of collaborative partners involved in the comprehensive planning stage (specify by type).

# PURPOSE AREA #3: JUSTICE SYSTEMS AND ALCOHOL AND SUBSTANCE ABUSE (BJA)

Objective	Sample Performance Measure(s)	Sample Data Grantee Must Provide
Purpose Area #3: Justice systems and alcohol and substance abuse	Percent increase in the number of cases handled by Tribal Courts	Number of judicial and other court positions created as a result of the grant during the reporting period.
(BJA)	Number of full-time equivalent (FTE)	Number of arrests for crimes where
	judicial and other court positions created or	alcohol or substance abuse was a
	funded under the grant award	factor for the period prior to grant funding.
	Percent reduction in the number of arrests	
	for crimes where alcohol or substance	Number of arrests for crimes where
	abuse was a factor	alcohol or substance abuse was a
		factor for the current reporting period.
	Percent increase in number of individuals	
	receiving treatment services as a result of	Number of individuals receiving
	this program.	treatment services as a result of this program during the current reporting
	Percent increase in number of participants	period.
	at tribal community prevention trainings	Etc.

Objective	Sample Performance Measure(s)	Sample Data Grantee Must Provide
Purpose Area #8: Juvenile Healing to	Percent of program youth who offend or reoffend (arrested/rearrested).	Number of program youth or families served.
Wellness Courts (OJJDP)	Percent of program youth or families exhibiting desired	Number of program youth who offend or reoffend.
	change in targeted behaviors (e.g., substance use, antisocial behavior, truancy, gang involvement).	Number of program youth or families exhibiting desired change in targeted behaviors.

### www.ojjdpdctat.org/help/Grids/PDF/TYPGrids.pdf

#### OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION

TRIBAL YOUTH PROGRAM

PLANNING YEAR PERFORMANCE MEASURES

Bold indicates mandatory indicators.

#	Output Measure	Definition	Data Grantee Reports	Record Data Here
1	Were planning activities conducted for this award during the reporting period	This measure determines whether the grantee has conducted planning activities during the reporting period.	Please respond: Yes or No     If yes, answer the planning questions for the reporting period. If no, enter in the text box the monthlyear planning activities were completed, or provide an attemate explanation for why no date is available.	
2	Identify if any OJJDP-funded tribal meetings were attended during the reporting period	Grantees were required to attend certain training sessions in order to prepare and progress their individual programs. All grantees are required to provide records for this measure.	A. Tribal New Grantee Orientation (Yes or No)     B. If yes, enter month/year of participation. If no, please explain     C. Strategic Planning (StratPak) (Yes or No)     D. If yes, enter month/year of participation. If no, please explain     E. Annual Regional Meeting (Yes or No)     F. If yes, enter monthlyear of participation. If no, please explain     C. Other TYP-related training activities (Yes or No)     If yes, enter monthlyear of participation. If no, please explain	
3	Number of partnerships developed during the reporting period	This measure is to indicate the number of partnerships that were developed during the reporting period.  For purposes of this grant, partnerships are defined as those organizations with whom the grantee established a direct or indirect financial or other relationship (e.g., consultant organization, contract, Memoranda of Understandings (MCU), media coverage) as a result of the grant funding. Only answer if you conducted planning activities during the reporting period.	Please enter the number of partnerships developed	
4	Identify the planning documents that were developed during the reporting period	This measure indicates the documents that you developed during the reporting period as a result of the planning activities. Most of these documents are presented at the Strategic Planning meeting which occurs usually in January or February of the first	Check Yes or No:  A. Mission and Vision Statement  B. Advisory Board  C. Community Partnerships  D. Communications Plan Worksheet	

## Possible Additional Drug Court Specific Performance Measures from BJA Drug Court RFP

Objective	Performance Measure	Data Grantees Provide
Improve, enhance, and/or expand drug court services to reduce substance use and recidivism of drug court participants.	Percentage of participants admitted to the program	A. Number of drug court participants that were admitted B. Total number of eligible drug court participants
	Percentage of participants who successfully completed the program	A. Number of participants enrolled in the program B. Number of participants who successfully completed program requirements. C. Total number of successful and unsuccessful completions.

## Possible Additional Drug Court Specific Performance Measures from BJA Drug Court RFP

Objective	Performance Measure	Data Grantees Provide
Improve, enhance, and/or expand drug court services to reduce substance use and recidivism of drug court participants.	Percentage of participants who tested positive for illegal substance	A. # of participants in the program for 90 days who tested positive for an illegal substance.  B. # of participants in the program for 90 days who were drug tested
	Percentage of program participants who recidivate while enrolled in the program  Percentage of arrest  Percentage of program participants who recidivate within one year after completion of the program	<ul> <li>A. # of drug court participants</li> <li>B. # of participants who recidivate while enrolled in the program.</li> <li>C. # of participants who were arrested for drug offenses.</li> <li>D. # of participants who were arrested for non-drug offenses.</li> <li>E. # of drug court participants who were arrested for non-drug and drug offense one year after program completions.</li> </ul>

## Additional Evidenced-Based Program Principles and Key Components Info

- Quality Improvement for Drug Courts Evidence-Based Practices: <u>www.ndci.org/sites/default/files/ndci/Mono9.QualityImprovement.pdf</u>
- SAMHSA's National Registry of Evidence-Based Programs and Practices, an online registry of mental health and substance abuse interventions: <a href="https://www.nrepp.samhsa.gov/LearnLanding.aspx">www.nrepp.samhsa.gov/LearnLanding.aspx</a>
- NIJ's Multi-site Adult Drug Court Evaluation: <u>www.nij.gov/topics/courts/drug-courts/Pages/madce.aspx#results</u>

#### **COMMON MISTAKES**

- Not responding to all of the requested information
- Failed to draw connection between problems in the "tribal community and justice profile" and programmatic activities
- Not providing the requested information in relevant section where peer reviewers could easily locate it
- Failed to draw the connection between the proposed budget and the programmatic activities
- Inconsistencies within proposal different writers by section
- Did not provide specific measurable targets for performance measures

#### **TIPS**

- I. Read the Solicitation and FAQs.
- 2. Check all of the resources available through the CTAS website.
- 3. Use scoring criteria as a general guide for how many pages you devote to each section
- 4. Don't wait until the deadline to apply!
- 5. Follow all format directions and use all of the allotted pages.
- 6. Go back and review the "tribal community and justice profile" after completing the purpose area narratives.
- 7. Go back and review the budget after completing the purpose area narratives.
- 8. Focus on attachments (tribal resolutions, letters of supports, etc.)
- PDF everything!

#### IF UNSUCCESSFUL

- Always ask Agency to provide comments
- Keep prior proposals and comments (although different review panel each time)
- Ask Agency what other services can be provided such as:
  - Training and Technical Assistance (T/TA)
  - Capacity Building
  - Scholarships for Conferences/Trainings
- Ask Agency for copies of successful applications
- Serve as peer reviewer

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### **QUESTIONS**

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